

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Jonathan Alan Victor COATES et al.

Application No./Patent No.: 10/594,847 Int'l Filing Date: March 31, 2005

Entitled: ANTIVIRAL AGENTS

Monash University a university
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.06]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

X Edwina Cornish
Signature

19 December 2008
Date

Edwina Cornish
Typed or printed name

DVC (Research)
Title

ASSIGNMENT

WHEREAS, we, Jonathan Alan Victor **COATES** having a mailing address of 9A Hutchinson Avenue, Beaumaris, VIC 3193, Australia, Ian **CROSBY** having a mailing address of 8 Pine Street, Hawthorn, VIC 3122, Australia, Eric Dale **JONES** having a mailing address of 18 Victor Road, Bentleigh East, VIC 3165, Australia, David Gerard **BOURKE** having a mailing address of 9 Efron Street, Nunawading, VIC 3131, Australia, Tyrone Pieter **JEYNES** having a mailing address of 55 Mitchell Parade, Pascoe Vale South, VIC 3044, Australia and Susan **COX** having a mailing address of 29 Pacific Boulevard, Beaumaris, VIC 3193, Australia (hereinafter referred to as **ASSIGNORS**), are the joint inventors of an invention entitled "ANTIVIRAL AGENTS," as described and claimed in the specification for which an application for United States letters patent was filed and assigned Application No. 10/594,847. This application is a national stage application filed under 35 U.S.C. 371 of International Application No. PCT/AU2005/000453, accorded an International Filing Date of March 31, 2005;

WHEREAS, Monash University (hereinafter referred to as **ASSIGNEE**), having a usual place of business at Wellington Road, Clayton, VIC 3800, Australia, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, **ASSIGNORS** hereby sell, assign and transfer unto said **ASSIGNEE**, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and, in any and all divisions, reissues and continuations thereof, said invention, application and all letters patent on said invention to be held and enjoyed by **ASSIGNEE** and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by **ASSIGNORS** had this assignment, transfer and sale not been made. **ASSIGNORS** hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to **ASSIGNEE**. **ASSIGNORS** agree to execute all instruments and documents required for the making and prosecution of applications for United States letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

International Application No.: PCT/AU2005/000453

U.S. Application No.: 10/594,847

17th September 2008.
Date



Jonathan Alan Victor **COATES**

I certify that I know or have satisfactory evidence that Jonathan Alan Victor **COATES** signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

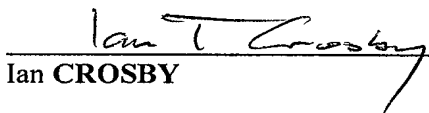
Date 17th SEPTEMBER 2008
(Type or Print)

JOHN DEADMAN
(Type or Print Name of Witness)

Signed at AVEXA LTD, VIC, 3121


(Signature of Witness)

10th Sept, 2008
Date

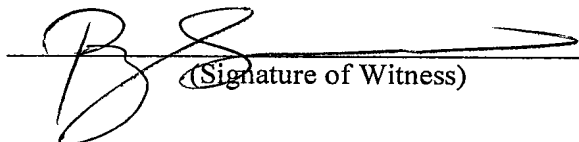

Ian **CROSBY**

I certify that I know or have satisfactory evidence that Ian **CROSBY** signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date 10th SEPT, 2008
(Type or Print)

BEN CAPUANO
(Type or Print Name of Witness)

Signed at MONASH UNIVERSITY (PARKVILLE)



(Signature of Witness)

17/9/2008
Date


Eric Dale JONES

I certify that I know or have satisfactory evidence that Eric Dale JONES signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date 17TH SEPTEMBER 2008
(Type or Print)
JOHN DEADMAN
(Type or Print Name of Witness)

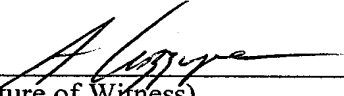
Signed at AVEXA LTD, VICTORIA, 3121

(Signature of Witness)

26TH Sept 2008
Date


David Gerard BOURKE

I certify that I know or have satisfactory evidence that David Gerard BOURKE signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date 26 Sept 2008
(Type or Print)
ANTHONY N CUZZOPE
(Type or Print Name of Witness)

Signed at CYTOPIA RESEARCH, VIC, 3121

(Signature of Witness)

International Application No.: PCT/AU2005/000453

U.S. Application No.: 10/594,847

17/9/08
Date


Tyrone Pieter JEYNES

I certify that I know or have satisfactory evidence that Tyrone Pieter JEYNES signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date _____
(Type or Print)

Signed at _____

(Type or Print Name of Witness)

(Signature of Witness)

16 SEPT 08
Date


Susan COX

I certify that I know or have satisfactory evidence that Susan COX signed this instrument of his/her own free will, as a voluntary act for the uses and purposes mentioned in the instrument.

Date _____
(Type or Print)

Signed at _____

(Type or Print Name of Witness)

(Signature of Witness)

(673096.401USPC)
USPC - Assignment (2)